



PTO/SB/08a 07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449AJP 10			Complete if Known		
			Application Number	10/612,683	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	July 1, 2003	
			First Named Inventor		
			Group Art Unit		
			Examiner Name		
Sheet	1	of	2	Attorney Docket Number	1000.023 CON

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	2004/0015205	01/22/2004	WHITEHURST	
	AB	2005/0131486	06/16/2005	BOVEJA	
	AC	2005/0049655	03/03/2005	BOVEJA	
	AD	2003/0208212	11/06/2003	CIGAINA	
	AE	2005/0192644	09/01/2005	BOVEJA	
	AF	2005/0131485	06/16/2005	KNUDSON	
	AG	2005/0038484	02/17/2005	KNUDSON	
	AH	2004/0167583	08/26/2004	KNUDSON	
	AI	2004/0172085	09/02/2004	KNUDSON	
	AJ	2004/0176812	09/09/2004	KNUDSON	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number + Kind Code ⁵ (if known)				
Examiner Signature				Date Considered	10-6-06	

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St.16 if possible. 6 Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. Send To Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/612,683
				Filing Date	July 1, 2003
				First Named Inventor	
				Group Art Unit	
Examiner Name					
Sheet	2	of	2	Attorney Docket Number	1000.023 CON

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issued number(s), publisher, city and/or country where published.	T?	
	AK	WOODBURY ET AL., <i>Vagal Stimulation Reduces The Severity Of Maximal Electroshock Seizures In Intact Rats: Use Of A Cuff Electrode For Stimulating And Recording</i> , Pacing and Clinical Electrophysiology, Vol. 14 (January 1991), pp. 94-107.		
	AL	ZABARA, Jacob, <i>Inhibition Of Experimental Seizures In Canines By Repetitive Vagal Stimulation</i> , Epilepsia, Vol. 33(6) (1992), pp. 1005-1012.		
	AM	HENRY, Thomas R., <i>Therapeutic Mechanisms Of Vagus Nerve Stimulation</i> , Neurology, Vol. 59 (Supp. 4) (September 2002), pp. S3-S14.		
	AN	LOCKARD ET AL., <i>Feasibility And Safety Of Vagal Stimulation In Monkey Model</i> , Epilepsia, Vol. 31 (Supp. 2) (1990), pp. S20-S26.		
	AO	HALLOWITZ ET AL., <i>Effects Of Vagal Volleys On Units Of Intralaminar And Juxtalaminar Thalamic Nuclei In Monkeys</i> , Brain Research, Vol. 130 (1977), pp. 271-286.		
	AP	BACHMAN ET AL., <i>Effects Of Vagal Volleys And Serotonin On Units Of Gulate Cortex In Monkeys</i> , Brain Research, Vol. 130 (1977), pp. 253-269.		
	AQ	TERRY ET AL., <i>The Implantable Neurocybernetic Prosthesis System</i> , Pacing and Clinical Electrophysiology, Vol. 14, No. 1 (January 1991), pp. 86-93.		
	AR	VONCK ET AL., <i>The Mechanism f Action Of Vagus Nerve Stimulation For Refractory Epilepsy</i> , Journal of Clinical Neurophysiology, Vol. 18(5) (2001), pp. 394-401.		
	AS	KRIWANEK, <i>"Therapeutic Failures After Gastric Bypass Operations For Morbid Obesity,"</i> Langenbecks Archiv. Fur Chirurgie, 38(2): 70-74, 1995.		
	AT	GRUNDY ET AL., <i>"Sensory Afferents From The Gastrointestinal Tract,"</i> Chapter 10, Handbook of Physiology, Sec. 6, S.G., Ed., American Physiology Society, Bethesda, Md., 1989.		
	AU	<i>"A Protective Role for Vagal Afferents: An Hypothesis,"</i> Neuroanatomy and Physiology of Abdominal Vagal Afferents, Chapter 12, CRC Press, New York, NY, 1992.		
	AV	<i>"External Sensory Events and the Control of the Gastrointestinal Tract: An Introduction,"</i> Neuroanatomy and Physiology of Abdominal Vagal Afferents, Chapter 5, CRC Press, New York, NY, 1992.		
	AW	<i>Neuroanatomy and Physiology of Abdominal Vagal Afferents</i> , Ch. 10 Ritter, Ritter and Barnes, Ed., CRC Press, 1992.		
	AX	LEIBOWITZ, <i>Eating Disorders and Obesity. A Comprehensive Handbook</i> , Ch. 1, Brownell and Fairburn, Ed., The Guilford Press, 1995.		

Examiner Signature		Dated Considered	10-6-06
--------------------	--	------------------	---------



PTO/SB/08a 07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/612,683
Filing Date	July 1, 2003
First Named Inventor	
Group Art Unit	
Examiner Name	
Attorney Docket Number	1000.023 CON

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
KS	AA	US-6,908,487		Cigaina	
	AB	US-6,895,278		Gordon	
	AC	US-6,889,076		Cigaina	
	AD	US-6,853,862		Marchal et al.	
	AE	US-6,832,114		Whitehurst et al.	
	AF	US-6,826,428		Chen et al.	
	AG	US-6,775,573		Schuler; Eleanor L. et al.	
	AH	US-6,754,536		Swoyer et al.	
	AI	US-6,684,104		Gordon et al.	
	AJ	US-6,662,053		Borkan; William N.	
	AK	US-6,615,084		Cigaina	
	AL	US-6,615,081		Boveja	
	AM	US-6,611,715		Boveja	
	AN	US-6,610,713		Tracey	
	AO	US-6,609,031		Law et al.	
	AP	US-6,609,025		Barrett; Burke T. et al.	
	AQ	US-6,606,523		Jenkins	
	AR	US-6,600,953		Flesler et al.	
	AS	US-6,594,524		Esteller et al.	
	AT	US-6,591,137		Fischell et al.	
	AU	US-6,587,726		Lurie et al.	
	AV	US-6,587,719		Barrett; Burke et al.	
	AW	US-6,564,101		Zikria	
	AX	US-6,542,776		Gordon et al.	
	AY	US-6,535,764		Imran et al.	
	AZ	US-6,532,388		Hill et al.	
	BA	US-6,510,332		Greenstein	
	BB	US-6,477,417		Levine; Paul A.	
	BC	US-6,473,653		Schallhorn et al.	
	BD	US-6,449,512		Boveja	
	BE	US-6,418,348		Witte; Joachim	
	BF	US-6,327,503		Familoni	
	BG	US-6,321,124		Cigaina	
	BH	US-6,216,039		Bourgeois	
	BI	US-6,129,685		Howard	
	BJ	US-6,115,635		Bourgeois	
	BK	US-6,104,955		Bourgeois	
	BL	US-6,102,922		Jakobsson et al.	

KSchaetzle 10-6-06

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO			Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number		10/612,683	
			Filing Date		July 1, 2003	
			First Named Inventor			
			Group Art Unit			
			Examiner Name			
Sheet	2	of	2	Attorney Docket Number		1000.023 CON
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ² (if known)				
X	BM	US-6,097,984		Douglas		
	BN	US-6,092,528		Edwards		
	BO	US-6,091,992		Bourgeois et al.		
	BP	US-6,083,249		Familoni		
	BQ	US-6,044,846		Edwards		
	BR	US-6,041,258		Cigaina et al.		
	BS	US-6,026,326		Bardy		
	BT	US-6,009,877		Edwards		
	BU	US-5,995,872		Bourgeois		
	BV	US-5,919,216		Houben et al.		
	BW	US-5,861,014		Familoni		
	BX	US-5,836,994		Bourgeois		
	BY	US-5,792,210		Wamubu et al.		
	BZ	US-5,771,903		Jakobsson		
	CA	US-5,690,691		Chen et al.		
	CB	US-5,601,604		Vincent		
	CC	US-5,540,734		Zabara; Jacob		
	CD	US-5,540,730		Terry, Jr.; Reese S. et al.		
	CE	US-5,534,018		Wahlstrand; John D. et al.		
	CF	US-5,514,175		Kim et al.		
CG	US-5,423,872		Cigaina			
CH	US-5,411,528		Miller; Leslie S. et al.			
CI	US-5,263,480		Wernicke; Joachim F. et al.			
CJ	US-5,231,988		Wernicke; Joachim F. et al.			
CK	US-5,226,429		Kuzmak			
CL	US-5,188,104		Wernicke; Joachim F. et al.			
CM	US-5,074,868		Kuzmak			
CN	US-5,003,975		Hafelfinger; Werner et al.			
CO	US-4,793,353		Borkan			
CP	US-4,612,934		Borkan			
CQ	US-4,592,339		Kuzmak et al.			
CR	US-4,459,989		Borkan			

KSchaefer 10-6-06